**PATENT** 

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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App. No.	:	09/738,046	)		Techn	TEC NUG I Ology (	7 20 Pentar	01
Filed	:	December 15, 2000	) )	RECE	IVEC	) _		<600
For	:	INTRACELLULAR PROTEIN DELIVERY COMPOSITIONS	)	JUN 2				ユ
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Examiner	:	Unknown	)			ER 1	2 2 2001	KECEIV
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Assistant Com Washington, D		oner for Patents 0231		Technology Center 2100	3 1 2001	MENED		
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Dear Sir:

Enclosed is form PTO-1449 listing references that are also enclosed. This Information Disclosure Statement is being filed before the mailing date of a final action under 37 C.F.R. § 1.113 and before the mailing date of a Notice of Allowance under § 1.311. A certification under 37 C.F.R. § 1.97(e) is set forth below. Thus, no fee is required as set forth below in 37 C.F.R. § 1.97(c).

## CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

I hereby certify that each item of information contained in this Statement was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than thirty days prior to the filing of this Information Disclosure Statement.

Appl. No. :

09/738,046

Filed

December 15, 2000

15-01

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Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Ned A. Israelsen

By:

Registration No. 29,655

Attorney of Record

620 Newport Center Drive

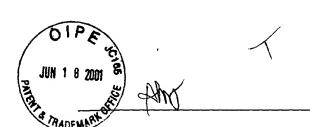
Sixteenth Floor

Newport Beach, CA 92660

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AUG 1 7 2001 Technology Center 2600

Technology Center 2100



Case Docket No. GTSYS.004A

I hereby certify that this correspondence and all

marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner

for Patents, Washington, D.C. 20231, on

Date: June 15, 2001

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

Felgner, et al.

Appl. No.

09/738,046

Filed

December 15, 2000

For

INTRACELLULAR

PROTEIN DELIVERY **COMPOSITIONS AND** 

METHODS OF USE

Examiner

Group Art Unit:

Unknown

2651

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JUN 2 1 2001

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en, Reg. No. 29,655

**Technology Center 2600** 

TRANSMITTAL LETTER

ASSISTANT COMMISSIONER FOR PATENTS

WASHINGTON, D.C. 20231

ATTENTION: APPLICATION BRANCH

Dear Sir:

Enclosed for filing in the above-identified application are:

(X)An Information Disclosure Statement.

(X) A PTO Form 1449 with nine (9) references.

(X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.

(X) Return prepaid postcard.

> Ned A. Israelsen Registration No. 29,655

Attorney of Record

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SHEET 1 OF

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. GTSYS,004A

APPLICATION NO. 09/738,046

SUPERIENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT

APPLICANT

JUN 2 1 2001

1 8 2001 (USE SEVERAL SHEETS IF NECESSARY)

Felgner et al.

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FILING DATE December 15, 2000 GROUP

**Technology Center 2600** 

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)	
					R	CEIVED	
						UG 2 2 2001	
		<u> </u>			TECH	CENTER 1600/2900	
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FOREIGN PATENT DOCUMENTS								
EXAMINER		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
INITIAL					1	}	YES	NO
	1	WO 99/13719	03/25/99	ter-MIb0				
	2	WO 99/58152	11/18/99	<b>।</b>				
	3	WO 99/08997	02/25,99	OP IN THE				
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EXAMINER INITIAL		OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)					
	4	International Search Report from PCT/US00/33969					
	5	Abai A. M. et al.; "Mechanism of Cationic Lipid / Cell Interactions and a General Strategy for Intracellular Protein Delivery"; Journal of Cell Biology, vol. 111, no. 5 part 2; 1990; page 380A.					
	6	Afzelius, P., et al.; "Covalent Modification of Serum Transferrin with Phospholipid and Incorporation into Liposomal Membranes"; Biosciences Information Service, Philiadelphia, PA, US; 1989; database accession no. PREV198987111700 XP002166274.					
	7	Schwendener R.A., et al.; "Comparative Studies of the Preparation of Immunoliposomes with the Use of Two Bifunctional Coupling Agents and Investigation of In-Vitro Immunoliposome-Target Cell Binding By Cytofluorimetry and Electron Microscopy"; Biosciences Information Service, Philadelphia, PA, US; 1990; database accession no. PREV199090083433 XP002166275.					
	8	Zelphati, Oliver, et al.; "Gene Chemistry: Functionally and Conformationally Intact Fluorescent Plasmid DNA"; Biosciences Information Service, Philadelphia, PA. US; January 1, 1999; database accession no. PREV199900098284 XP002166276.					
	9	Zheng, Lian, et al., "Delivery of Liposome-Encapsulated HIV Type 1 Proteins to Human Dendritic Cells for Stimulation of HIV Type 1-Specific Memory Cytotoxic T Lymphocyte Responses". AIDS Research and Human Retroviruses. vol. 15, no. 11; July 20, 1999; pages 1011-1020					

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DATE CONSIDERED

\*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.